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Abstract

2 Detection of non-wear periods is an important step in accelerometer data processing. This 3 study evaluated five non-wear detection algorithms for wrist accelerometer data and two rules for non-wear detection when non-wear and sleep algorithms are implemented in parallel. 4 Non-wear algorithms were based on the standard deviation (SD), the high-pass filtered 5 6 acceleration, or tilt angle. Rules for differentiating sleep from non-wear consisted of an 7 override rule in which any overlap between non-wear and sleep was deemed non-wear; and a 75% rule in which non-wear periods were deemed sleep if the duration was < 75% of the 8 9 sleep period. Non-wear algorithms were evaluated in 47 children who wore an ActiGraph GT3X+ accelerometer during school hours for 5 days. Rules for differentiating sleep from 10 non-wear were evaluated in 15 adults who wore a GeneActiv Original accelerometer 11 continuously for 24 hours. Classification accuracy for the non-wear algorithms ranged 12 between 0.86 - 0.95, with the SD of the vector magnitude providing the best performance. 13 The override rule misclassified 37.1 minutes of sleep as non-wear, while the 75% rule 14 resulted in no misclassification. Non-wear algorithms based on the SD of the acceleration 15 signal can effectively detect non-wear periods, while application of the 75% rule can 16 17 effectively differentiate sleep from non-wear when examined concurrently.

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19 KEYWORDS: accelerometry, physical activity, measurement, wearable sensor, sleep

Introduction

21	Due to their unobtrusive size, robustness, and low cost, accelerometer-based motion
22	sensors have become the method of choice for measuring physical activity and sedentary
23	behaviour in free-living samples [1, 2]. Detection of non-wear periods is an important step in
24	accelerometer data processing. The determination of valid monitoring days, time spent in
25	sedentary behaviour, and adjusting activity estimates for differences in daily wear time
26	during data analysis, are all dependent on the accurate detection of non-wear periods.
27	Inaccuracies in non-wear detection can lead to substantial variations in physical activity
28	estimates which can impact the validity of associations in observational studies, and increase
29	the risk of bias in intervention trials.
30	Current methods use strings of consecutive zero counts ranging between 20-90
31	minutes in duration as an indicator of non-wear time [3-9]. However, these algorithms have
32	been developed for monitoring protocols in which hip or waist mounted accelerometers are
33	worn during the waking hours and are based on proprietary activity counts which cannot be
34	generalised across different accelerometers. As such, they cannot be applied to more
35	contemporary activity monitoring protocols in which raw tri-axial accelerometer signal is
36	collected at the wrist over a full 24-h period [10-12].
37	To date, non-wear detection algorithms for raw acceleration signal have received little
38	research attention. Van Hees [13, 14] developed a non-wear detection algorithm based on the
39	standard deviation and magnitude of acceleration in each axis, calculated for 60-minute
40	windows with a 45-minute overlap. Additionally, a series of rules is applied to test the
41	plausibility of short wear periods located in-between long periods of non-wear. The
42	algorithm, is based on data from a single lab experiment in which accelerometers were left
43	motionless on a flat surface for 30 minutes and visual inspection of accelerometer data from a

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single observational study [13]. However, to our knowledge, the validity of the algorithm hasnot been independently tested and reported on in the scientific literature.

Another important methodological issue related to automatic non-wear detection is 46 the misclassification of sleep as non-wear. Sleep is essential for health and well-being in both 47 children and adults; and there is consistent evidence linking short sleep duration to weight 48 49 gain and obesity through reductions in physical activity, increases in sedentary behaviours, and alterations in dietary intake [15]. On the weight of this evidence, public health 50 organisations have issued 24-hour movement guidelines recommending a healthy 51 52 combination of physical activity, screen time, and sleep across the day [16-18]. In studies employing 24-h monitoring protocols to objectively measure physical activity, sedentary 53 time, and sleep and assess compliance with these guidelines, it is likely that non-wear 54 detection algorithms will misclassify sleep as non-wear periods. Therefore, it is necessary to 55 derive rules that can be applied when sleep and non-wear algorithms are implemented in 56 parallel. One approach has been to apply, a simple override rule which allows the researcher 57 to decide if overlapping non-wear periods should replace sleep or vice versa [19, 20]. 58 However, like the aforementioned non-wear detection algorithm, the validity of the override 59 rule has not been formally evaluated. 60

With this in mind, the purpose of the current study was to evaluate the validity of an algorithm for detection of non-wear periods in raw acceleration data. In addition, to expand the current knowledge in this area, the validity of four new non-wear detection algorithms was evaluated and compared. To address the challenges of implementing non-wear and sleep detection algorithms in parallel, the performance of two rule based heuristics for the simultaneous detection of sleep and non-wear periods was examined.

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Methods

Two completely de-identified wrist accelerometer datasets were used in the study. 69 Dataset 1 comprised accelerometer data collected as part of the physical activity intervention 70 71 trial involving 12 primary schools in New South Wales, Australia. Because the study's 72 evaluation plan required participants to only wear the accelerometer during school hours, the dataset provided distinct periods of known non-wear time, which allowed for the evaluation 73 74 of the non-wear algorithms. Dataset 2 comprised accelerometer data from a study in Queensland, Australia evaluating the performance of a wrist-worn accelerometer activity 75 76 classification model in free living adults [21]. This dataset was selected because it provided periods of sleep without non-wear periods, which allowed for the evaluation of the decision 77 rules for differentiating sleep from non-wear. The comparative performance of the five non-78 79 wear detection algorithms was tested using Dataset and the best performing non-wear detection algorithm was implemented in parallel with a sleep detection algorithm in Dataset 80 2. Descriptive characteristics for each sample and information about the monitoring protocols 81 are reported below. 82

83 Dataset 1

84 Forty-seven children (46.7% female; mean age = 8.7 ± 0.4 y) wore an ActiGraph GT3X+ accelerometer (ActiGraph Corporation, Pensacola, FL) on their non-dominant wrist 85 86 during school attendance on five consecutive days, with the sampling frequency set to 30 Hz. Teachers distributed the monitors individually to students to wear as they arrived to school 87 and collected the monitors from the students as they left school each day. Teachers recorded 88 the time students put on and took off the monitors each day in a wear-time log, which is 89 90 consistent with previous studies evaluating non-wear algorithms [7, 22-24]. This protocol 91 provided ground truth non-wear periods from midnight to before school and the end of school to midnight, with no sleep periods. Additionally, on one day, a subsample of children 92

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removed the accelerometer for class pictures between 11:50 am and 1:20 pm, thus providing
an additional non-wear period for comparison. A total of 175 monitoring days were available
for analysis.

96 Dataset 2

A total of 15 adults (38% female; mean age = 27.6 ± 6.2 y) wore a GENEActiv 97 98 Original accelerometer (Activinsights Ltd., Cambridgeshire, UK) on their non-dominant wrist for a 24-h period, with the sampling frequency set to 30 Hz. Participants were instructed to 99 wear the monitor for the full 24-hour period and report times when they may have removed 100 the monitor. Data was considered valid only if the monitor was worn for the full time period. 101 Thus, similar to the approach implemented by Choi and colleagues [3, 4] any non-wear 102 detected by an algorithm was known to be an error (false positive). The day after the 24-h 103 monitoring period, participants completed the Multimedia Activity Recall for Children and 104 Adults (MARCA) [25], a computer-based time use survey that requires participants to recall 105 106 the activities they completed on the previous day in time intervals of 5 minutes or more, including sleep. Data from the MARCA provided ground-truth for sleep duration over the 24-107 h monitoring period. The use of self-reported time use data for identifying sleep periods is 108 109 consistent with the approach used by Van Hees et al. [26, 27] in the development and evaluation of the sleep algorithm implemented in the current study; and has been used in 110 other studies evaluating the validity of sleep-wake period detection algorithms [27-29]. 111 Non-wear algorithms 112

Five non-wear detection algorithms were evaluated. Sample code and data for the
non-wear detection algorithms can be found in the following link: https://github.com/MAQUT/Nonwear.

The first algorithm (VH_30/80), developed by Van Hees et al. [13,14], identified nonwear periods using the standard deviation (SD) and range of the acceleration signal along the

118 x, y, and z axes recorded for 60-minute windows, with a 45-minute overlap. Windows were 119 classified as non-wear if the SD was < 13 mg (g = gravity-based acceleration units, where 1 g120 = 9.81 m/s²; mg = milli g where 1000 mg = 1g) and the range was < 150 mg for at least two 121 of the axes. Additionally, all wear periods of < 6 hours and < 30% of the combined duration 122 of their bordering non-wear periods were classified as non-wear. Wear periods of < 3 hours 123 and 80% the duration of their bordering non-wear periods were also classified as non-wear.

124 The second algorithm (SD_XYZ) was based solely on the SD of the acceleration signal recorded in the x, y, and z axes. Windows were classified as non-wear if the SD of all 125 126 3 axes was < 13 mg's for 30 consecutive minutes. The third algorithm (SD VM) was based on the SD of the vector magnitude (VM) of the tri-axial acceleration signal. Windows were 127 classified as non-wear if the SD of the VM was < 13 mg for 30 consecutive minutes. The 128 fourth algorithm (SUM_HPF), applied a high-pass filter (4th order Butterworth filter with ω_0 129 = 0.25 Hz) to remove the static gravitational component and residual noise from the 130 acceleration signal leaving only acceleration due to movement [30, 31]. The absolute value of 131 132 the resultant acceleration signal was then summed for each axis over the entire 30-min window. Windows were classified as non-wear if the sum of the acceleration signal for all 133 three axes was 0 mg for 30 consecutive minutes. 134

The fifth algorithm (TILT) was based on a lack of change in monitor tilt angle in all three axes relative to the horizontal. Tilt for each axis was determined using the equation: [atan([axis of interest]/ $\sqrt{(1 \text{ st orthogonal axis}^2 + 2 \text{ nd orthogonal axis}^2)) * (180/pi)]}$ Windows were classified as non-wear if the change in tilt was < 1.0° in all three axes for 30 consecutive minutes. The 1.0° threshold was chosen because when not worn tilt angle will not change by more than 1.0° for all three axes and a change of more than 1.0° will only occur from a 17.5mg displacement [32].

142	For the SD_XYZ, SD_VM, SUM_HPF, and TILT algorithms, wear-time periods
143	were classified as non-wear if the duration was < 30 min and $< 30\%$ of a bordering non-wear
144	period. This rule was implemented to account for brief wear periods located in-between long
145	periods of non-wear. The 13mg threshold for the VH_30/80, SD_XYZ and SD_VM
146	algorithms was dictated by the residual noise associated with the electrical components,
147	battery voltage, and sampling frequency in the ADXL accelerometers used in Geneactiv, and
148	Kionix accelerometers used in ActiGraph monitors [33, 34].

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--Insert Table 1 near here--

150 *Non-wear and sleep rules*

When the best performing non-wear detection algorithm was implemented in parallel 151 152 with the Van Hees sleep detection algorithm [27] in Dataset 2, two rule-based heuristics were 153 examined. The first approach implemented a simple override rule. Any overlap between windows of predicted non-wear and predicted sleep time were classified as non-wear. The 154 155 second approach employed a 75% overlap rule. If the duration of an overlapping non-wear period was < 75% of the predicted sleep period, the non-wear period was classified as sleep. 156 Conversely, if the overlapping non-wear period was > 75% of the predicted sleep period, the 157 sleep period was classified as non-wear. Sample code and data for the decision rules can be 158 159 found at: https://github.com/MA-QUT/Nonwear.

160 Algorithm Evaluation

For the evaluation of the non-wear algorithms in Dataset 1, accuracy at the instance level (i.e., each 1 second epoch) was evaluated by calculating percent agreement, sensitivity, specificity, and area under the receiver operating characteristic (ROC) curve [35]. When the monitoring day served as the unit of analysis, non-wear time estimates were evaluated by calculating the mean bias and 95% limits of agreement (LoA), root mean square error (RMSE), and mean absolute percent agreement (MAPE). To evaluate the performance of the
rules to distinguish sleep from non-wear periods in Dataset 2, estimates of sleep duration
were compared to those reported in the MARCA, and mean bias and 95% LoA were
calculated.

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Results

172 Percent agreement, sensitivity, specificity, and area under the ROC curve for the five non-wear algorithms are reported in Table 2. Percent agreement for all five algorithms was 173 174 excellent. The TILT (96.1%) algorithm had the highest agreement, followed by the SD_VM (95.9%) algorithm. The VH_30/80 (90.0%) algorithm had the lowest percent agreement, but 175 was still excellent. In addition, all five algorithms exhibited excellent sensitivity and good to 176 177 excellent specificity. The area under the ROC curve provides a measure of classification accuracy that jointly considers sensitivity and specificity [34]. Applying the rubric of Metz 178 [36] the SD_XYZ, SD_VM, SUM_HPF, and TILT algorithms demonstrated excellent 179 classification accuracy, while the VH_30/80 algorithm demonstrated good classification 180 181 accuracy.

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--Insert Table 2 near here--

183 The results of the day level analysis are presented in Table 3. Based on the daily wear 184 time logs completed by the classroom teacher, average non-wear time was 1089.8 min/day. In comparison, estimated daily non-wear time ranged between 1064.0 mins for the VH 30/80 185 algorithm to 1091.1 mins per day for the TILT algorithm. Non-wear time was marginally 186 187 underestimated by four out of the five non-wear algorithms, with SD_VM (0.8 min/day) and TILT (-1.3 min/day) displaying the lowest mean bias. LoA's were comparable in magnitude 188 189 for SD_XYZ, SD_VM, SUM_HPF, and TILT, whilst VH_30/80 had an LoA that was more than 2-fold larger. RMSE's for the five non-wear algorithms ranged from 63.0 min/day for 190

191	TILT to 179.6 min/day for VH_30/80. MAPE for the SD_XYZ, SD_VM, SUM_HPF, and
192	TILT ranged from 4.7% to 5.2%, whilst the VH_30/80 algorithm exhibited a MAPE of
193	12.9%.

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--Insert Table 3 near here--

195	The performances of the two rules for differentiating sleep from non-wear are
196	reported in Table 4. Relative to the MARCA, the Van Hees sleep detection algorithm
197	overestimated sleep duration by an average of 11.6 min/day (95% $LoA = -25.1 min/day$ to
198	48.5 min/day). When the best performing non-wear algorithm (SD_VM) was implemented in
199	parallel with this sleep detection algorithm, the override rule resulted in an average of 37.1
200	min of sleep misclassified as non-wear (95% $LoA = 15.1 - 57.9$ min). When implementing
201	the 75% overlap rule, there was no misclassification of sleep as non-wear.

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--Insert Table 4 near here--

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Discussion

The current study evaluated the validity of five non-wear detection algorithms and two rule-based heuristics to differentiate sleep from non-wear. All five non-wear detection algorithms provided accuracies greater than 0.86, with the SD_VM and TILT algorithms providing the best overall performance. Due to its ease of implementation, the SD_VM is recommended. Additionally, implementation of the 75% rule eliminated all misclassification of sleep as non-wear when the two algorithms were implemented in parallel.

The results suggest that, regardless of the method used to determine non-wear, the length of the non-wear time window was the most influential factor in determining algorithm performance. The SD_XYZ, SD_VM, SUM_HPF, and TILT required 30 consecutive minutes below their representative threshold for a period to be considered non-wear and these algorithms displayed greater than 95% classification accuracy. In comparison, the VH 30/80

required 60 minutes below the respective threshold for a period to be classified as non-wear, 215 which resulted in lower classification accuracy and an RMSE twice that of the other 216 217 algorithms. The lower performance of the VH 30/80 relative to the other four may thus be explained, at least in part, to its longer window length and different plausibility rules for brief 218 wear periods. For example, in Dataset 1, removing the monitors for 90 minutes for class 219 pictures during the school day resulted in two separate wear periods that were less than 6 220 221 hours and less than 30% of bordering non-wear periods. Implementation of the VH 30/80 algorithm thus resulted in the entire school day being classified as non-wear. Additionally, if 222 223 the monitor was removed a few minutes before the end of the school day, a wear period of less than 6 hours was created, and the entire school day was misclassified as non-wear. 224 Notably, when the non-wear time window for VH 30/80 was reduced to 30 minutes, with a 225 226 75% overlap, and the same plausibility rules as the other four algorithms were applied, the performance of the VH 30/80 improved substantially, with ROC-AUC increasing to 0.92, and 227 RMSE and MAE decreasing to 86.3 min and 6.1%, respectively. 228

Although the VH 30/80, SD_XYZ, and SD_VM non-wear algorithms are all based on 229 the SD of the acceleration signal, each algorithm has its advantages and disadvantages. The 230 VH 30/80 is very sensitive to movement but the least robust to internal mechanical noise, 231 because only two out of the three accelerometer axes have to be above the 13mg threshold. 232 233 The SD_XYZ algorithm is slightly less sensitive to movement but more robust to noise because all three axes have to be above the threshold. Conversely, the SD_VM is the most 234 sensitive to movement while also being the most robust to noise. When stationary, the VM 235 value will be dominated by acceleration due to gravity on the vertical axis (1000 mg) and 236 thus the SD of the VM will be minimally affected by internal noise that may occur on the two 237 orthogonal axes. When movement occurs, the value of the VM will be dominated by the 238 direction of movement occurring along any of the three orthogonal axis and therefore is more 239

sensitive to movement than the VH 30/80 and SD_XYZ algorithms. The TILT algorithm, in 240 contrast, works on the principle that tilt angle will not change during extended periods of 241 non-wear. The algorithm thus has the advantage of not being reliant on the variability of the 242 acceleration signal. Under static conditions when the monitor is not worn, the orientation will 243 not change by more than 1.0° along any of the axes. However, under dynamic conditions the 244 angle measurements become unstable which may affect the reliability of the derived angles 245 246 without additional processing. The SUM_HPF algorithm uses a high-pass filter to eliminate any acceleration due to internal noise and gravity, however as there is no universal band-pass 247 248 filter in the literature, it is also the most imprecise algorithm. Despite the subtle differences between each algorithm, they all are based on lack of monitor movement, which is why they 249 all performed similarly with the most influential factor being the length of period needed to 250 251 be considered non-wear.

252 When the best performing non-wear detection algorithm was applied in parallel with a previously validated sleep detection algorithm, brief episodes within the sleep period were 253 identified as non-wear; reflective of the lack of arm movement during portions of nightly 254 sleep. The simple override rule resulted in an average of 37.1 minutes of non-wear 255 classification during predicted sleep periods. We chose the option of replacing sleep with 256 non-wear because: 1) false positives for sleep during prolonged periods of non-wear would 257 lead to inaccurate sleep duration estimates and bias sleep parameter results; and 2) data 258 imputation methods can be applied to instances of non-wear, but not predicted sleep periods. 259 Implementation of the 75% overlap rule completely eliminated the misclassification of sleep 260 as non-wear. This is because the 75% overlap rule considers the entirety of the sleep duration 261 and not just the period of overlap. In addition, by providing a percentage limit rather than an 262 absolute time limit, the 75% overlap rule affords flexibility for changes in nightly sleep 263 264 duration.

The current study had several strengths. First, the non-wear algorithms were applied 265 to free-living accelerometer datasets using two accelerometer brands that are widely used by 266 physical activity researchers. Prior research suggests that different accelerometer brands 267 provide different raw acceleration values [37-39]. Therefore, it was important to develop non-268 wear algorithms that are dependent on change in acceleration (i.e., standard deviation or tilt) 269 and not absolute acceleration values. In addition, the use of time-invariant features allows for 270 271 the algorithm to be applied over different window lengths. Second, our study evaluated the performance of non-wear detection algorithms in both child and adult samples, thus 272 273 increasing the generalisability of the results. Third, the study introduced simple rules that can be easily applied when a non-wear algorithm is implemented in parallel with a sleep 274 algorithm. 275

Opposing these strengths were several limitations. First, although Dataset 1 provided 276 known periods of non-wear before and after the school, the exact start and end of non-wear 277 278 periods were based on daily wear-time logs completed by classroom teachers, However, logs have been used to evaluate non-wear algorithms in prior studies [7, 22-24]. To determine if 279 inaccuracies in the log were contributing to biased results, a sensitivity analysis was 280 conducted in which the start and end of each day was adjusted by 15 minutes. Notably, the 281 performance of the five algorithms did not change. Second, the algorithms were tested in 282 283 students who wore an accelerometer during a six-hour school day. As such, each monitoring day did not include short periods of non-wear related to removal of the monitor for 284 bathing/showering or other self-care activities. . Future studies should examine the 285 performance of our algorithms in samples wearing the accelerometer over longer periods, 286 such as studies that monitor activity levels during the waking hours. Third, because Dataset 2 287 did not contain any periods of non-wear, the rules for differentiating sleep from non-wear 288 only evaluated instances of non-wear misclassification during sleep. Future studies should 289

assess the performance of the rules when differentiating instances of sleep misclassificationthat occur during monitor non-wear.

In summary, algorithms based on the standard deviation of the VM and tilt angle can 292 be used for automatic detection of non-wear from raw accelerometer data collected at the 293 wrist. The use of a 30-minute rather than 60-minute non-wear windows allows for the 294 identification of legitimate brief non-wear periods that would otherwise be undetected. 295 Application of algorithms that are not dependent on the signal magnitude allows for a 296 consistent approach to non-wear detection across different monitor brands. Additionally, the 297 75% overlap rule provides a simple method to differentiate sleep periods from non-wear 298 periods when non-wear and sleep detection algorithms are implemented in parallel. 299

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Non-wear algorithm	Non-wear detection
VH_30/80	60 min sliding window with 45 min overlap
	SD < 13 mg AND peak-to-peak < 150 mg for 2 out of 3 axes
	for 60 minutes
	Wear-periods < 6 hours AND < 30% of bordering non-wear
	period is non-wear
	Wear-periods < 3 hours and < 80% of bordering non-wear
	period is non-wear
	1 second sliding window
SD_XYZ	SD of x, y, and z axes < 13 mg for 30 consecutive minutes.
	Wear periods < 30 minutes AND < 30% of bordering non-
	wear periods is non-wear
	1 second sliding window
SD_VM	SD of VM < 13 mg for 30 consecutive minutes.
	Wear periods < 30 minutes AND < 30% of bordering non-
	wear periods is non-wear
	1 second sliding window
SUM HPF	Apply 0.25 Hz High pass filter, and the Sum of x, y, and z
	axes = 0 mg for 30 consecutive minutes
	Wear periods < 30 minutes AND < 30% of bordering non-
	wear periods is non-wear
	1 second sliding window
ТПЛ	Change in tilt $< 1.0^{\circ}$ in all three axes for 30 consecutive
	minutes
	Wear periods < 30 minutes AND < 30% of bordering non-
	wear periods is non-wear

Table 1: Non-wear Detection Algorithms

Algorithm	Agreement (%)	Se(%)	Sp(%)	AUC	
SD_XYZ	95.8	97.1	92.0	.95	
SD_VM	95.9	97.2	91.9	.95	
SUM_HPF	95.6	96.6	92.3	.94	
TILT	96.1	97.4	91.9	.95	
VH 30/80	90.0	92.2	81.7	.86	

Table 2: Classification Performance of Non-wear Detection Algorithms Applied in Dataset 1

Se(%), Sensitivity; Sp(%), Specificity; AUC, Area Under the Curve

Algorithm	Non-wear (SD)	Mean Bias (95% LOA)	RMSE	MAPE
Wear-time	1089.8 (30.2)			
SD_XYZ	1087.5 (61.8)	2.3 (-19.4 – 24.1)	67.2	5.0%
SD_VM	1089.0 (61.6)	0.8 (-20.9 – 22.4)	65.9	4.9%
SD_HPF	1081.5 (62.2)	8.3 (-13.6 - 30.2)	70.4	5.2%
Tilt	1091.1 (59.8)	-1.3 (-22.4 – 19.8)	63.0	4.7%
VH 30/80	1064.0 (172.9)	25.8 (-37.8 - 89.4)	179.6	12.9%

Table 3: Performance of Non-wear Detection Algorithms for predicting daily non-wear time in Dataset 1

Values indicate minutes/day; Mean Bias: Observed - Predicted

Algorithm	Non-wear	Sleep
Ground-Truth	0.0 (0.0)	417.3 (60.2)
Sleep		428.9 (84.2)
Decision Rules:		
Override	37.1 (43.6)	391.8 (77.9)
75% Rule:	0.0 (0.0)	428.9 (84.2)

 Table 4: Non-wear and Sleep Algorithm Applied in Parallel with Decision Rules in Dataset 2

Values indicate Mean (SD) minutes/day